

e-file Signature Authorization for an Exempt Organization

For calendar year 2016, or fiscal year beginning 12/01, 2016, and ending 11/30, 2017

Do not send to the IRS. Keep for your records.

Information about Form 8879-EO and its instructions is at www.irs.gov/form8879eo.

2016

Department of the Treasury Internal Revenue Service

Name of exempt organization: NKC FAMILY FOUNDATION; Employer identification number: 47-2618874

Name and title of officer: NICK CALAMOS, TRUSTEE

Part I Type of Return and Return Information (Whole Dollars Only)

Check the box for the return for which you are using this Form 8879-EO and enter the applicable amount, if any, from the return.

1a Form 990 check here; 2a Form 990-EZ check here; 3a Form 1120-POL check here; 4a Form 990-PF check here; 5a Form 8868 check here; b Total revenue; b Total tax; b Tax based on investment income; b Balance Due

Part II Declaration and Signature Authorization of Officer

Under penalties of perjury, I declare that I am an officer of the above organization and that I have examined a copy of the organization's 2016 electronic return and accompanying schedules and statements...

Officer's PIN: check one box only

I authorize BKD, LLP to enter my PIN 85432 as my signature

on the organization's tax year 2016 electronically filed return. If I have indicated within this return that a copy of the return is being filed with a state agency(ies) regulating charities as part of the IRS Fed/State program...

As an officer of the organization, I will enter my PIN as my signature on the organization's tax year 2016 electronically filed return.

Officer's signature; Date

Part III Certification and Authentication

ERO's EFIN/PIN. Enter your six-digit electronic filing identification number (EFIN) followed by your five-digit self-selected PIN.

15750444016; do not enter all zeros

I certify that the above numeric entry is my PIN, which is my signature on the 2016 electronically filed return for the organization indicated above.

ERO's signature: Rebecca Cleary; Date: 3/14/18

ERO Must Retain This Form - See Instructions Do Not Submit This Form To the IRS Unless Requested To Do So

For Paperwork Reduction Act Notice, see back of form.

Underpayment of Estimated Tax by Corporations

2016

▶ Attach to the corporation's tax return.

▶ Information about Form 2220 and its separate instructions is at www.irs.gov/form2220.

Name: **NKC FAMILY FOUNDATION** Employer identification number: **47-2618874**

Note: Generally, the corporation isn't required to file Form 2220 (see Part II below for exceptions) because the IRS will figure any penalty owed and bill the corporation. However, the corporation may still use Form 2220 to figure the penalty. If so, enter the amount from page 2, line 38 on the estimated tax penalty line of the corporation's income tax return, but **do not** attach Form 2220.

Part I Required Annual Payment

1	Total tax (see instructions)	1	3,546.
2a	Personal holding company tax (Schedule PH (Form 1120), line 26) included on line 1		
2b	Look-back interest included on line 1 under section 460(b)(2) for completed long-term contracts or section 167(g) for depreciation under the income forecast method		
2c	Credit for federal tax paid on fuels (see instructions)		
2d	Total. Add lines 2a through 2c		
3	Subtract line 2d from line 1. If the result is less than \$500, do not complete or file this form. The corporation doesn't owe the penalty.	3	3,546.
4	Enter the tax shown on the corporation's 2015 income tax return. See instructions. Caution: If the tax is zero or the tax year was for less than 12 months, skip this line and enter the amount from line 3 on line 5	4	7,034.
5	Required annual payment. Enter the smaller of line 3 or line 4. If the corporation is required to skip line 4, enter the amount from line 3	5	3,546.

Part II Reasons for Filing - Check the boxes below that apply. If any boxes are checked, the corporation must file Form 2220 even if it doesn't owe a penalty. See instructions.

6	<input type="checkbox"/> The corporation is using the adjusted seasonal installment method.
7	<input type="checkbox"/> The corporation is using the annualized income installment method.
8	<input type="checkbox"/> The corporation is a "large corporation" figuring its first required installment based on the prior year's tax.

Part III Figuring the Underpayment

	(a)	(b)	(c)	(d)
9 Installment due dates. Enter in columns (a) through (d) the 15th day of the 4th (Form 990-PF filers: Use 5th month), 6th, 9th, and 12th months of the corporation's tax year	9 04/15/2017	05/15/2017	08/15/2017	11/15/2017
10 Required installments. If the box on line 6 and/or line 7 above is checked, enter the amounts from Schedule A, line 38. If the box on line 8 (but not 6 or 7) is checked, see instructions for the amounts to enter. If none of these boxes are checked, enter 25% (0.25) of line 5 above in each column.	10 887.	887.	887.	885.
11 Estimated tax paid or credited for each period. For column (a) only, enter the amount from line 11 on line 15. See instructions.	11			
Complete lines 12 through 18 of one column before going to the next column.				
12 Enter amount, if any, from line 18 of the preceding column	12			
13 Add lines 11 and 12	13			
14 Add amounts on lines 16 and 17 of the preceding column	14	887.	1,774.	2,661.
15 Subtract line 14 from line 13. If zero or less, enter -0-	15			
16 If the amount on line 15 is zero, subtract line 13 from line 14. Otherwise, enter -0-	16	887.	1,774.	
17 Underpayment. If line 15 is less than or equal to line 10, subtract line 15 from line 10. Then go to line 12 of the next column. Otherwise, go to line 18	17 887.	887.	887.	885.
18 Overpayment. If line 10 is less than line 15, subtract line 10 from line 15. Then go to line 12 of the next column	18			

Go to Part IV on page 2 to figure the penalty. Do not go to Part IV if there are no entries on line 17 - no penalty is owed.

For Paperwork Reduction Act Notice, see separate instructions.

Part IV Figuring the Penalty

	(a)	(b)	(c)	(d)
19 Enter the date of payment or the 15th day of the 4th month after the close of the tax year, whichever is earlier. <i>(C Corporations with tax years ending June 30 and S corporations: Use 3rd month instead of 4th month. Form 990-PF and Form 990-T filers: Use 5th month instead of 4th month.)</i> See instructions				
20 Number of days from due date of installment on line 9 to the date shown on line 19				
21 Number of days on line 20 after 4/15/2016 and before 7/1/2016				
22 Underpayment on line 17 x $\frac{\text{Number of days on line 21}}{366} \times 4\% (0.04)$	\$	\$	\$	\$
23 Number of days on line 20 after 6/30/2016 and before 10/1/2016	ATTACHMENT 1			
24 Underpayment on line 17 x $\frac{\text{Number of days on line 23}}{366} \times 4\% (0.04)$	\$	\$	\$	\$
25 Number of days on line 20 after 9/30/2016 and before 1/1/2017	SEE PENALTY COMPUTATION WHITEPAPER DETAIL			
26 Underpayment on line 17 x $\frac{\text{Number of days on line 25}}{366} \times 4\% (0.04)$	\$	\$	\$	\$
27 Number of days on line 20 after 12/31/2016 and before 4/1/2017				
28 Underpayment on line 17 x $\frac{\text{Number of days on line 27}}{365} \times 4\% (0.04)$	\$	\$	\$	\$
29 Number of days on line 20 after 3/31/2017 and before 7/1/2017				
30 Underpayment on line 17 x $\frac{\text{Number of days on line 29}}{365} \times \%$	\$	\$	\$	\$
31 Number of days on line 20 after 6/30/2017 and before 10/1/2017				
32 Underpayment on line 17 x $\frac{\text{Number of days on line 31}}{365} \times \%$	\$	\$	\$	\$
33 Number of days on line 20 after 9/30/2017 and before 1/1/2018				
34 Underpayment on line 17 x $\frac{\text{Number of days on line 33}}{365} \times \%$	\$	\$	\$	\$
35 Number of days on line 20 after 12/31/2017 and before 3/16/2018				
36 Underpayment on line 17 x $\frac{\text{Number of days on line 35}}{365} \times \%$	\$	\$	\$	\$
37 Add lines 22, 24, 26, 28, 30, 32, 34, and 36	\$	\$	\$	\$
38 Penalty. Add columns (a) through (d) of line 37. Enter the total here and on Form 1120, line 33; or the comparable line for other income tax returns				\$ 107.

*Use the penalty interest rate for each calendar quarter, which the IRS will determine during the first month in the preceding quarter. These rates are published quarterly in an IRS News Release and in a revenue ruling in the Internal Revenue Bulletin. To obtain this information on the Internet, access the IRS website at www.irs.gov. You can also call 1-800-829-4933 to get interest rate information.

PENALTY COMPUTATION DETAIL - FORM 2220

<u>DATE PD</u>	<u>UNDERPAYMENT</u>	<u>BEG.DATE</u>	<u>END DATE</u>	<u>DAYS</u>	<u>%</u>	<u>PENALTY</u>
<u>QUARTER 1, RATE PERIOD 1 (04/15/2017 - 04/15/2018)</u>						
	887.	04/15/2017	04/15/2018	365	4	35.
TOTAL FOR QUARTER 1, RATE PERIOD 1						<u>35.</u>
<u>QUARTER 2, RATE PERIOD 1 (05/15/2017 - 04/15/2018)</u>						
	887.	05/15/2017	04/15/2018	335	4	33.
TOTAL FOR QUARTER 2, RATE PERIOD 1						<u>33.</u>
<u>QUARTER 3, RATE PERIOD 1 (08/15/2017 -04/15/2018)</u>						
	887.	08/15/2017	04/15/2018	243	4	24.
TOTAL FOR QUARTER 3, RATE PERIOD 1						<u>24.</u>
<u>QUARTER 4, RATE PERIOD 1 (11/15/2017 - 04/15/2018)</u>						
	885.	11/15/2017	04/15/2018	151	4	15.
TOTAL FOR QUARTER 4, RATE PERIOD 1						<u>15.</u>
TOTAL UNDERPAYMENT PENALTY						<u>107.</u>

Department of the Treasury
Internal Revenue Service

▶ Do not enter social security numbers on this form as it may be made public.
▶ Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

Open to Public Inspection

For calendar year 2016 or tax year beginning 12/01, 2016, and ending 11/30, 2017

Name of foundation NKC FAMILY FOUNDATION		A Employer identification number 47-2618874
Number and street (or P.O. box number if mail is not delivered to street address)	Room/suite	B Telephone number (see instructions)
501 W. STATE STREET	206	(630) 335-7357
City or town, state or province, country, and ZIP or foreign postal code GENEVA, IL 60134		C If exemption application is pending, check here. <input type="checkbox"/>
G Check all that apply:	<input type="checkbox"/> Initial return <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Final return <input type="checkbox"/> Amended return <input type="checkbox"/> Address change <input type="checkbox"/> Name change	D 1. Foreign organizations, check here. <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>
H Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here. <input type="checkbox"/>
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) ▶ \$ 18,054,879.	J Accounting method: <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____ (Part I, column (d) must be on cash basis.)	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here. <input type="checkbox"/>

	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)				
Revenue				
1 Contributions, gifts, grants, etc., received (attach schedule)	7,034.			
2 Check <input type="checkbox"/> if the foundation is not required to attach Sch. B.				
3 Interest on savings and temporary cash investments.	23,077.	23,077.		
4 Dividends and interest from securities	229,124.	229,124.		
5a Gross rents				
b Net rental income or (loss) _____				
6a Net gain or (loss) from sale of assets not on line 10	106,734.			
b Gross sales price for all assets on line 6a 5,400,272.				
7 Capital gain net income (from Part IV, line 2)		106,734.		
8 Net short-term capital gain.				
9 Income modifications				
10a Gross sales less returns and allowances				
b Less: Cost of goods sold				
c Gross profit or (loss) (attach schedule)				
11 Other income (attach schedule)				
12 Total. Add lines 1 through 11	365,969.	358,935.		
Operating and Administrative Expenses				
13 Compensation of officers, directors, trustees, etc.	0.			
14 Other employee salaries and wages	60,000.			60,000.
15 Pension plans, employee benefits				
16a Legal fees (attach schedule)				
b Accounting fees (attach schedule) ATCH 1	4,464.	2,232.		2,232.
c Other professional fees (attach schedule) [2]	245.	245.		
17 Interest				
18 Taxes (attach schedule) (see instructions) [3]	8,966.	1,821.		111.
19 Depreciation (attach schedule) and depletion				
20 Occupancy				
21 Travel, conferences, and meetings				
22 Printing and publications				
23 Other expenses (attach schedule) ATCH 4	3,277.	55.		3,222.
24 Total operating and administrative expenses. Add lines 13 through 23.	76,952.	4,353.		65,565.
25 Contributions, gifts, grants paid	1,036,000.			1,036,000.
26 Total expenses and disbursements. Add lines 24 and 25	1,112,952.	4,353.	0.	1,101,565.
27 Subtract line 26 from line 12:				
a Excess of revenue over expenses and disbursements	-746,983.			
b Net investment income (if negative, enter -0-)		354,582.		
c Adjusted net income (if negative, enter -0-)				

Part II Balance Sheets		Attached schedule and amounts in the description column should be for end-of-year amounts only. (See instructions.)		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value		
Assets	1	Cash - non-interest-bearing				
	2	Savings and temporary cash investments	2,065,109.	294,659.	294,659.	
	3	Accounts receivable ▶				
		Less: allowance for doubtful accounts ▶				
	4	Pledges receivable ▶				
		Less: allowance for doubtful accounts ▶				
	5	Grants receivable				
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)				
	7	Other notes and loans receivable (attach schedule) ▶				
		Less: allowance for doubtful accounts ▶				
	8	Inventories for sale or use				
	9	Prepaid expenses and deferred charges				
	10a	Investments - U.S. and state government obligations (attach schedule)[5]	5,113,129.	4,907,184.	4,871,309.	
	b	Investments - corporate stock (attach schedule) ATCH 6	10,292,470.	11,515,476.	12,888,911.	
	c	Investments - corporate bonds (attach schedule)				
	11	Investments - land, buildings, and equipment: basis Less: accumulated depreciation (attach schedule) ▶				
12	Investments - mortgage loans					
13	Investments - other (attach schedule)					
14	Land, buildings, and equipment: basis Less: accumulated depreciation (attach schedule) ▶					
15	Other assets (describe ▶)					
16	Total assets (to be completed by all filers - see the instructions. Also, see page 1, item I)	17,470,708.	16,717,319.	18,054,879.		
Liabilities	17	Accounts payable and accrued expenses				
	18	Grants payable				
	19	Deferred revenue				
	20	Loans from officers, directors, trustees, and other disqualified persons				
	21	Mortgages and other notes payable (attach schedule)				
	22	Other liabilities (describe ▶)				
23	Total liabilities (add lines 17 through 22)	0.	0.			
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ <input type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.					
	24	Unrestricted				
	25	Temporarily restricted				
	26	Permanently restricted				
	Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. ▶ <input checked="" type="checkbox"/>					
	27	Capital stock, trust principal, or current funds	17,470,708.	16,717,319.		
	28	Paid-in or capital surplus, or land, bldg., and equipment fund				
29	Retained earnings, accumulated income, endowment, or other funds					
30	Total net assets or fund balances (see instructions)	17,470,708.	16,717,319.			
31	Total liabilities and net assets/fund balances (see instructions)	17,470,708.	16,717,319.			

Part III Analysis of Changes in Net Assets or Fund Balances		
1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	17,470,708.
2	Enter amount from Part I, line 27a	-746,983.
3	Other increases not included in line 2 (itemize) ▶	
4	Add lines 1, 2, and 3	16,723,725.
5	Decreases not included in line 2 (itemize) ▶ ATCH 7	6,406.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	16,717,319.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1 a SEE PART IV SCHEDULE				
b				
c				
d				
e				
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)	
a				
b				
c				
d				
e				
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69				(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any		
a				
b				
c				
d				
e				
2	Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	106,734.
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8	{ }	3	0.

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? Yes No
If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2015	600,752.	17,311,183.	0.034703
2014	296,667.	4,875,101.	0.060854
2013			
2012			
2011			
2	Total of line 1, column (d)		2 0.095557
3	Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years.		3 0.047779
4	Enter the net value of noncharitable-use assets for 2016 from Part X, line 5		4 17,813,159.
5	Multiply line 4 by line 3.		5 851,095.
6	Enter 1% of net investment income (1% of Part I, line 27b).		6 3,546.
7	Add lines 5 and 6.		7 854,641.
8	Enter qualifying distributions from Part XII, line 4. If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.		8 1,101,565.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948- see instructions)

Table with 11 rows for excise tax calculations. Line 1: 3,546. Line 2: 0. Line 3: 3,546. Line 4: 0. Line 5: 3,546. Line 7: 0. Line 8: 107. Line 9: 3,653. Line 10: 0. Line 11: 0.

Part VII-A Statements Regarding Activities

Table with 10 rows for activity statements. Columns: Question, Yes, No. Row 1a: No (X). Row 1b: Yes (X). Row 1c: Yes (X). Row 2: Yes (X). Row 3: Yes (X). Row 4a: Yes (X). Row 4b: Yes (X). Row 5: Yes (X). Row 6: Yes (X). Row 7: Yes (X). Row 8b: Yes (X). Row 9: Yes (X). Row 10: Yes (X).

Part VII-A Statements Regarding Activities (continued)

11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions). 11 Yes No X
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions) 12 Yes No X
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address WWW.NKCFFOUNDATION.COM 13 X
14 The books are in care of NICK CALAMOS Telephone no. 630-335-7357 Located at 501 W. STATE STREET, STE. 206 GENEVA, IL ZIP+4 60134
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here 15 and enter the amount of tax-exempt interest received or accrued during the year 15
16 At any time during calendar year 2016, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? 16 Yes No X See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

1a During the year did the foundation (either directly or indirectly): (1) Engage in the sale or exchange, or leasing of property with a disqualified person? Yes X No (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? Yes X No (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? Yes X No (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? X Yes No (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? Yes X No (6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) Yes X No
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? 1b Yes No X Organizations relying on a current notice regarding disaster assistance check here
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2016? 1c Yes No X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):
a At the end of tax year 2016, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2016? Yes X No If "Yes," list the years
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.) 2b Yes No
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here.
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? Yes X No
b If "Yes," did it have excess business holdings in 2016 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2016.) 3b Yes No
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? 4a Yes No X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2016? 4b Yes No X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to:
(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?. Yes No
(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?. Yes No
(3) Provide a grant to an individual for travel, study, or other similar purposes? Yes No
(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions). Yes No
(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? Yes No
b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? 5b
Organizations relying on a current notice regarding disaster assistance check here
c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? Yes No
If "Yes," attach the statement required by Regulations section 53.4945-5(d).
6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? Yes No
b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? 6b X
If "Yes" to 6b, file Form 8870.
7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? Yes No
b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? 7b

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

Table with 5 columns: (a) Name and address, (b) Title, and average hours per week devoted to position, (c) Compensation (If not paid, enter -0-), (d) Contributions to employee benefit plans and deferred compensation, (e) Expense account, other allowances. Row 1: ATCH 8, 0, 0, 0.

2 Compensation of five highest-paid employees (other than those included on line 1 - see instructions). If none, enter "NONE."

Table with 5 columns: (a) Name and address of each employee paid more than \$50,000, (b) Title, and average hours per week devoted to position, (c) Compensation, (d) Contributions to employee benefit plans and deferred compensation, (e) Expense account, other allowances. Row 1: ATCH 9, 60,000, 0.

Total number of other employees paid over \$50,000. 1

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services 0.

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 N/A	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.

	Amount
1 NONE	
All other program-related investments. See instructions.	
3 NONE	

Total. Add lines 1 through 3

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	16,902,279.
b	Average of monthly cash balances	1b	1,182,146.
c	Fair market value of all other assets (see instructions).	1c	
d	Total (add lines 1a, b, and c)	1d	18,084,425.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	
2	Acquisition indebtedness applicable to line 1 assets	2	
3	Subtract line 2 from line 1d	3	18,084,425.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	271,266.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	17,813,159.
6	Minimum investment return. Enter 5% of line 5	6	890,658.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	890,658.
2a	Tax on investment income for 2016 from Part VI, line 5	2a	3,546.
b	Income tax for 2016. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	3,546.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	887,112.
4	Recoveries of amounts treated as qualifying distributions	4	
5	Add lines 3 and 4.	5	887,112.
6	Deduction from distributable amount (see instructions).	6	
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1.	7	887,112.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	1,101,565.
b	Program-related investments - total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	1,101,565.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5	3,546.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	1,098,019.

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2015	(c) 2015	(d) 2016
1 Distributable amount for 2016 from Part XI, line 7				887,112.
2 Undistributed income, if any, as of the end of 2016:				
a Enter amount for 2015 only.			204,377.	
b Total for prior years: 20 <u>14</u> , 20 <u>13</u> , 20 <u>12</u>				
3 Excess distributions carryover, if any, to 2016:				
a From 2011				
b From 2012				
c From 2013				
d From 2014				
e From 2015				
f Total of lines 3a through e				
4 Qualifying distributions for 2016 from Part XII, line 4: ► \$ <u>1,101,565.</u>				
a Applied to 2015, but not more than line 2a			204,377.	
b Applied to undistributed income of prior years (Election required - see instructions)				
c Treated as distributions out of corpus (Election required - see instructions)				
d Applied to 2016 distributable amount.				887,112.
e Remaining amount distributed out of corpus.	10,076.			
5 Excess distributions carryover applied to 2016. (If an amount appears in column (d), the same amount must be shown in column (a).)				
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	10,076.			
b Prior years' undistributed income. Subtract line 4b from line 2b.				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b. Taxable amount - see instructions				
e Undistributed income for 2015. Subtract line 4a from line 2a. Taxable amount - see instructions				
f Undistributed income for 2016. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2017.				
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)				
8 Excess distributions carryover from 2011 not applied on line 5 or line 7 (see instructions)				
9 Excess distributions carryover to 2017. Subtract lines 7 and 8 from line 6a	10,076.			
10 Analysis of line 9:				
a Excess from 2012				
b Excess from 2013				
c Excess from 2014				
d Excess from 2015				
e Excess from 2016	10,076.			

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 1)

NOT APPLICABLE

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2016, enter the date of the ruling

b Check box to indicate whether the foundation is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

Table with 5 columns: (a) 2016, (b) 2015, (c) 2014, (d) 2013, (e) Total. Rows include 2a (Adjusted net income), 2b (85% of line 2a), 2c (Qualifying distributions from Part XII), 2d (Amounts included in line 2c not used directly for active conduct of exempt activities), 2e (Qualifying distributions made directly for active conduct of exempt activities), 3 (Alternative tests: Assets, Endowment, Support).

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year - see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

NICK AND KIMBERLY CALAMOS

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

N/A

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here [X] if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XV Supplementary Information *(continued)*

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<p>a <i>Paid during the year</i></p> <p>ATCH 10</p>				
Total ▶ 3a				1,036,000.
<p>b <i>Approved for future payment</i></p>				
Total ▶ 3b				

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.

Table with 5 main columns: (a) Business code, (b) Amount, (c) Exclusion code, (d) Amount, (e) Related or exempt function income. Rows include Program service revenue, Membership dues, Interest on savings, Dividends, Net rental income, Gain or loss from sales, and Subtotal.

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Table with 2 columns: Line No. and Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes.

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

- 1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code... a Transfers from the reporting foundation to a noncharitable exempt organization of: (1) Cash... (2) Other assets... b Other transactions: (1) Sales of assets to a noncharitable exempt organization... (2) Purchases of assets from a noncharitable exempt organization... (3) Rental of facilities, equipment, or other assets... (4) Reimbursement arrangements... (5) Loans or loan guarantees... (6) Performance of services or membership or fundraising solicitations... c Sharing of facilities, equipment, mailing lists, other assets, or paid employees... d If the answer to any of the above is "Yes," complete the following schedule.

Table with 4 columns: (a) Line no., (b) Amount involved, (c) Name of noncharitable exempt organization, (d) Description of transfers, transactions, and sharing arrangements. Row 1 contains 'N/A' for (b) and (d).

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? Yes No

Table with 3 columns: (a) Name of organization, (b) Type of organization, (c) Description of relationship. All cells are empty.

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge. Sign Here Signature of officer or trustee Date Title

May the IRS discuss this return with the preparer shown below (see instructions)? Yes No

Paid Preparer Use Only section containing fields for Print/Type preparer's name (REBEKUH ELEY), Preparer's signature (handwritten), Date (3/14/18), Firm's name (BKD, LLP), Firm's address (1901 S. MEYERS ROAD, SUITE 500 OAKBROOK TERRACE, IL), Firm's EIN (44-0160260), and Phone no. (630-282-9500).

**FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME**

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/allowable	Cost or other basis	FMV as of 12/31/69	Adj. basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		TOTAL CAPITAL GAIN DISTRIBUTIONS					14,712.	
3,115,002.		PUBLICLY TRADED SECURITIES 3,161,080.				P	VAR -46,078.	VAR
2,285,269.		PUBLICLY TRADED SECURITIES 2,147,169.				P	VAR 138,100.	VAR
TOTAL GAIN (LOSS)							<u>106,734.</u>	

Schedule B
(Form 990, 990-EZ,
or 990-PF)

Department of the Treasury
Internal Revenue Service

Schedule of Contributors

▶ Attach to Form 990, Form 990-EZ, or Form 990-PF.

▶ Information about Schedule B (Form 990, 990-EZ, or 990-PF) and its instructions is at www.irs.gov/form990.

OMB No. 1545-0047

2016

Name of the organization

NKC FAMILY FOUNDATION

Employer identification number

47-2618874

Organization type (check one):

Filers of:

Section:

Form 990 or 990-EZ

501(c)() (enter number) organization

4947(a)(1) nonexempt charitable trust **not** treated as a private foundation

527 political organization

Form 990-PF

501(c)(3) exempt private foundation

4947(a)(1) nonexempt charitable trust treated as a private foundation

501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.

Note: Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

General Rule

For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II. See instructions for determining a contributor's total contributions.

Special Rules

For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33 1/3 % support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of **(1)** \$5,000 or **(2)** 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.

For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I, II, and III.

For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc., purposes, but no such contributions totaled more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Don't complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions totaling \$5,000 or more during the year ▶ \$ _____

Caution: An organization that isn't covered by the General Rule and/or the Special Rules doesn't file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990; or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it doesn't meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

Name of organization **NKC FAMILY FOUNDATION**

Employer identification number
47-2618874

Part I Contributors (See instructions). Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	NICK AND KIMBERLY CALAMOS 501 W. STATE STREET, SUITE 206 GENEVA, IL 60134	\$ 7,034.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)

Name of organization **NKC FAMILY FOUNDATION**

Employer identification number

47-2618874

Part II Noncash Property (See instructions). Use duplicate copies of Part II if additional space is needed.

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
_____	_____ _____ _____ _____	\$ _____	_____
_____	_____ _____ _____ _____	\$ _____	_____
_____	_____ _____ _____ _____	\$ _____	_____
_____	_____ _____ _____ _____	\$ _____	_____
_____	_____ _____ _____ _____	\$ _____	_____
_____	_____ _____ _____ _____	\$ _____	_____
_____	_____ _____ _____ _____	\$ _____	_____
_____	_____ _____ _____ _____	\$ _____	_____

Name of organization NKC FAMILY FOUNDATION

Employer identification number 47-2618874

Part III Exclusively religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than \$1,000 for the year from any one contributor. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of exclusively religious, charitable, etc., contributions of \$1,000 or less for the year. (Enter this information once. See instructions.) Use duplicate copies of Part III if additional space is needed.

Form with columns: (a) No. from Part I, (b) Purpose of gift, (c) Use of gift, (d) Description of how gift is held, (e) Transfer of gift. Includes sub-sections for Transferee's name, address, and ZIP + 4, and Relationship of transferor to transferee. Repeated four times.

ATTACHMENT 1

FORM 990PF, PART I - ACCOUNTING FEES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>	<u>ADJUSTED NET INCOME</u>	<u>CHARITABLE PURPOSES</u>
TAX PREPARATION FEES	4,464.	2,232.		2,232.
TOTALS	<u>4,464.</u>	<u>2,232.</u>		<u>2,232.</u>



ATTACHMENT 2

FORM 990PF, PART I - OTHER PROFESSIONAL FEES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>
INVESTMENT ADVISORY FEES	245.	245.
TOTALS	<u>245.</u>	<u>245.</u>



ATTACHMENT 3

FORM 990PF, PART I - TAXES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>	<u>CHARITABLE PURPOSES</u>
FOREIGN TAXES	1,821.	1,821.	
EXCISE TAX	7,034.		
PAYROLL TAXES	111.		111.
TOTALS	<u>8,966.</u>	<u>1,821.</u>	<u>111.</u>



ATTACHMENT 4

FORM 990PF, PART I - OTHER EXPENSES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>	<u>CHARITABLE PURPOSES</u>
ANNUAL REPORT FILING FEES	15.		15.
PAYROLL EXPENSE FEES	1,980.		1,980.
PHILANTHROPY MEMBER FEE	750.		750.
WORKMANS COMP	477.		477.
ADR FEE	55.	55.	
TOTALS	<u><u>3,277.</u></u>	<u><u>55.</u></u>	<u><u>3,222.</u></u>

FORM 990PF, PART II - U.S. AND STATE OBLIGATIONS

ATTACHMENT 5

<u>DESCRIPTION</u>	<u>BEGINNING BOOK VALUE</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
SEE ATTACHMENT	5,113,129.	4,907,184.	4,871,309.
US OBLIGATIONS TOTAL	<u>5,113,129.</u>	<u>4,907,184.</u>	<u>4,871,309.</u>

ATTACHMENT 6

FORM 990PF, PART II - CORPORATE STOCK

<u>DESCRIPTION</u>	<u>BEGINNING BOOK VALUE</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
SEE ATTACHMENT	10,292,470.	11,515,476.	12,888,911.
TOTALS	<u>10,292,470.</u>	<u>11,515,476.</u>	<u>12,888,911.</u>

FORM 990PF, PART III - OTHER DECREASES IN NET WORTH OR FUND BALANCES

<u>DESCRIPTION</u>	<u>AMOUNT</u>
PRIOR PERIOD ADJUSTMENT	6,406.
TOTAL	<u>6,406.</u>

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEES

ATTACHMENT 8

<u>NAME AND ADDRESS</u>	<u>TITLE AND AVERAGE HOURS PER WEEK DEVOTED TO POSITION</u>	<u>COMPENSATION</u>	<u>CONTRIBUTIONS TO EMPLOYEE BENEFIT PLANS</u>	<u>EXPENSE ACCT AND OTHER ALLOWANCES</u>
NICK CALAMOS 501 W. STATE STREET 206 GENEVA, IL 60134	TRUSTEE 1.00	0.	0.	0.
KIMBERLY CALAMOS 501 W. STATE STREET 206 GENEVA, IL 60134	TRUSTEE 1.00	0.	0.	0.
GRAND TOTALS		<u>0.</u>	<u>0.</u>	<u>0.</u>



990PF, PART VIII - COMPENSATION OF THE FIVE HIGHEST PAID EMPLOYEES

ATTACHMENT 9

<u>NAME AND ADDRESS</u>	<u>TITLE AND AVERAGE HOURS PER WEEK DEVOTED TO POSITION</u>	<u>COMPENSATION</u>
KATIE BIFOSS 501 W. STATE ST, STE 206 GENEVA, IL 60134	PROGRAM OFFICER 40.00	60,000.
	<u>TOTAL COMPENSATION</u>	<u>60,000.</u>

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

ATTACHMENT 10

RECIPIENT NAME AND ADDRESS	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
NORTHERN ILLINOIS UNIVERSITY FOUNDATION ALTGELD HALL 134 DEKALB, IL 60115	NONE PC	UNRESTRICTED	35,000.
GREENHOUSE MOVEMENT 171 N CUYLER AVENUE OAK PARK, IL 60302	NONE PC	UNRESTRICTED	15,000.
AURORA CHRISTIAN SCHOOL 2255 SULLIVAN ROAD AURORA, IL 60506	NONE PC	UNRESTRICTED	80,000.
CASA KANE COUNTY 100 S. THIRD STREET SUITE 460 GENEVA, IL 60134	NONE PC	UNRESTRICTED	10,000.
IMMUNE DEFICIENCY FOUNDATION 110 WEST ROAD SUITE 300 TOWSON, MD 21204	NONE PC	UNRESTRICTED	20,000.
WESTSHORE FOOD BANK P.O. BOX 630 LAKESIDE, MT 59922	NONE PC	UNRESTRICTED	6,000.

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

ATTACHMENT 10 (CONT'D)

RECIPIENT NAME AND ADDRESS	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
NORTHERN ILLINOIS FOOD BANK 273 DEARBORN COURT GENEVA, IL 60134	NONE PC	UNRESTRICTED	35,000.
HESED HOUSE 659 S RIVER ST. AURORA, IL 60506	NONE PC	UNRESTRICTED	25,000.
LAZARUS HOUSE 214 WALNUT ST. ST. CHARLES, IL 60174	NONE PC	UNRESTRICTED	35,000.
FOX VALLEY WILDLIFE CENTER PO BOX 385 ELBURN, IL 60119	NONE PC	UNRESTRICTED	20,000.
SHEPARD'S HEART MINISTRIES/FIRST BAPTIST OF GENEVA 2300 SOUTH ST. GENEVA, IL 60134	NONE PC	UNRESTRICTED	120,000.
TAYLOR UNIVERSITY SCHOLARSHIP FUND 236 W. READE AVE UPLAND, IN 46989	NONE PC	UNRESTRICTED	15,000.

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

ATTACHMENT 10 (CONT'D)

RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR
AND
FOUNDATION STATUS OF RECIPIENT

<u>RECIPIENT NAME AND ADDRESS</u>	<u>PURPOSE OF GRANT OR CONTRIBUTION</u>	<u>AMOUNT</u>
MUTUAL GROUND 418 OAK AVENUE AURORA, IL 60506	UNRESTRICTED	15,000.
BRIDGE COMMUNITIES 505 CRESCENT BLVD. GLEN ELLYN, IL 60137	UNRESTRICTED	50,000.
FTEA PO BOX 1636 WARRENVILLE, IL 60555	UNRESTRICTED	20,000.
CASEY'S SAFE HAVEN 527 CUMNOR RD APT 104 WESTMONT, IL 60559	UNRESTRICTED	10,000.
FLATHEAD FOOD BANK 1203 HIGHWAY WEST SUITE 2 KALISPELL, MT 59901	UNRESTRICTED	40,000.
RONALD McDONALD HOUSE CHARITIES 1301 W. 22ND STREET SUITE 905 OAK BROOK, IL 60523	UNRESTRICTED	15,000.

FORM 990EF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

ATTACHMENT 10 (CONT'D)

RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR
AND

<u>RECIPIENT NAME AND ADDRESS</u>	<u>FOUNDATION STATUS OF RECIPIENT</u>	<u>PURPOSE OF GRANT OR CONTRIBUTION</u>	<u>AMOUNT</u>
UNITED SERVICE ORGANIZATIONS 333 WABASH AVE. 16TH FLR. CHICAGO, IL 60604	NONE PC	UNRESTRICTED	5,000.
FISHER HOUSE FOUNDATION 111 ROCKVILLE PIKE SUITE 420 ROCKVILLE, MD 20850	NONE PC	UNRESTRICTED	5,000.
WAYSIDE CROSS MINISTRIES 215 E NEW YORK ST AURORA, IL 60505	NONE PC	UNRESTRICTED	50,000.
CRU GLOBAL 100 LAKE HART DR SUITE 3500 ORLANDO, FL 32832	NONE PC	UNRESTRICTED	40,000.
AGAPE HOUSE INC. PO BOX 68 WALWORTH, WI 53184	NONE PC	UNRESTRICTED	25,000.
AMERICARES FOUNDATION INC. 85 HAMILTON AVE STAMFORD, CT 06902	NONE PC	UNRESTRICTED	20,000.

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

ATTACHMENT 10 (CONT'D)

RECIPIENT NAME AND ADDRESS	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
ANDERSON ANIMAL SHELTER 1000 S. LA FOX 51 SOUTH ELGIN, IL 60177	NONE PC	UNRESTRICTED	5,000.
CALS ALL STAR ANGEL FOUNDATION INC. 2422 W. MAIN ST, SUTIE 3B ST. CHARLES, IL 60175	NONE PC	UNRESTRICTED	35,000.
FREEDOM SERVICE DOGS INC. 7193 S. DILLON CT ENGLEWOOD, CO 80112	NONE PC	UNRESTRICTED	15,000.
INSPIRATION MINISTRIES INC 138 E 7TH STREET AUURN, IN 46706	NONE PC	UNRESTRICTED	25,000.
LYDIA HOME ASSOCIATION 4300 WEST IRVING PARK RD CHICAGO, IL 60641	NONE PC	UNRESTRICTED	30,000.
NAPERVILLE CHRISTIAN ACADEMY 1451 RAYMOND DR, STE 200 NAPERVILLE, IL 60563	NONE PC	UNRESTRICTED	20,000.

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

ATTACHMENT 10 (CONT'D)

RECIPIENT NAME AND ADDRESS	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
PHIL'S FRIENDS 1350 LAKE ST, STE 1 ROSELLE, IL 60172	NONE PC	UNRESTRICTED	40,000.
ROCKFORD RESCUE MISSION PO BOX 1958 ROCKFORD, IL 61110	NONE PC	UNRESTRICTED	60,000.
SALVATION ARMY 1424 NE EXPRESS WAY ATLANTA, GA 30329	NONE PC	UNRESTRICTED	10,000.
SAMARITAN HOUSE, INC. PO BOX 592 KALISPELL, MT 59903	NONE PC	UNRESTRICTED	25,000.
SAMARITAN'S PURSE PO BOX 3000 BOONE, NC 28607	NONE PC	UNRESTRICTED	20,000.
URBAN INITIATIVES, INC 650 W. LAKE, SUITE 340 CHICAGO, IL 60661	NONE PC	UNRESTRICTED	20,000.

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

ATTACHMENT 10 (CONT'D)

RECIPIENT NAME AND ADDRESS	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
WEST SUBURBAN HUMANE SOCIETY 1901 OGDEN AVE DOWNERS GROVE, IL 60515	NONE PC	UNRESTRICTED	5,000.
WOUNDED WARRIORS FAMILY SUPPORT 920 S. 107TH AVE, STE 250 OMAHA, NE 68114	NONE PC	UNRESTRICTED	15,000.
TOTAL CONTRIBUTIONS PAID			<u>1,036,000.</u>



Investment Detail - Cash and Bank Sweep

Investment Detail - Fixed Income

U.S. Treasuries	Par	Market Price	Market Value	Adjusted Cost Basis	% of Account Assets	Unrealized Gain or (Loss)	Estimated Annual Income	Yield to Maturity
US TREASURY BILL17 U S T BILL DUE 12/07/17 CUSIP: 912796LB3	500,000.0000	99.9837	499,918.75 497,696.50 ¹	497,696.50	3%	2,222.25	N/A	0.54%
US TREASUR NT 0.75%02/18 UST NOTE DUE 02/28/18 CUSIP: 912828UR9	1,000,000.0000	99.8593	998,593.70 1,002,662.00	1,000,038.08	6%	(1,444.38) ^b	Accrued Interest: 0.00	7,500.00
US TREASU NT 1.375%06/18 UST NOTE DUE 06/30/18 CUSIP: 912828VK3	900,000.0000	99.9375	899,437.50 915,281.10	900,923.71	5%	(1,486.21) ^b	Accrued Interest: 1,906.08	12,375.00
							Accrued Interest: 5,178.67	0.65%



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Investment Detail - Fixed Income (continued)

U.S. Treasuries (continued)	Par	Market Price	Market Value Cost Basis	Adjusted Cost Basis	% of Account Assets	Unrealized Gain or (Loss)	Estimated Annual Income Yield to Maturity
US TREASUR NT 1%09/18 UST NOTE DUE 09/15/18 CUSIP: 912828L40	500,000.0000	99.5156	497,578.10 503,917.30	500,934.77	3%	(3,356.67) ^b	5,000.00 0.61% Accrued Interest: 1,063.54
US TREASUR NT 1.25%03/19 UST NOTE DUE 03/31/19 CUSIP: 912828W97	500,000.0000	99.3593	496,796.85 499,071.41	499,071.41	3%	(2,274.56)	6,250.00 1.35% Accrued Interest: 1,064.56
US TREASUR NT 0.75%07/19 UST NOTE DUE 07/15/19 CUSIP: 912828S43	500,000.0000	98.3906	491,953.10 493,910.78	493,910.78	3%	(1,957.68)	3,750.00 1.37% Accrued Interest: 1,416.44
US TREASUR NT 1%09/19 UST NOTE DUE 09/30/19 CUSIP: 912828TR1	500,000.0000	98.5937	492,968.75 495,585.00	495,585.00	3%	(2,616.25)	5,000.00 1.39% Accrued Interest: 851.65



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Investment Detail - Fixed Income (continued)

U.S. Treasuries (continued)	Par	Market Price	Market Value Cost Basis	Adjusted Cost Basis	% of Account Assets	Unrealized Gain or (Loss)	Estimated Annual Income Yield to Maturity
US TREASUR NT 1.25%01/20	500,000.0000	98.8125	494,062.50	499,060.00	3%	(4,997.50)	6,250.00
UST NOTE DUE 01/31/20 CUSIP: 912828H52			499,060.00				1.32%
Total U.S. Treasuries	4,900,000.0000		4,871,309.25	4,887,220.25	27%	(15,911.00)^b	46,125.00
		Total Cost Basis:	4,907,184.09				Accrued Interest: 2,088.99
Total Fixed Income	4,900,000.0000		4,871,309.25	4,887,220.25	27%	(15,911.00)^b	46,125.00
		Total Cost Basis:	4,907,184.09				Total Accrued Interest for U.S. Treasuries: 13,569.93

Accrued Interest represents the interest that would be received if the fixed income investment was sold prior to the coupon payment. Estimated Annual Income ("EAI") and Estimated Yield ("EY") calculations are for informational purposes only. The actual income and yield might be lower or higher than the estimated amounts. EY is based upon EAI and the current price of the security and will fluctuate. For certain types of securities, the calculations could include a return of principal or capital gains in which case EAI and EY would be overstated. EY and EAI are not promptly updated to reflect when an issuer has missed a regular payment or announced changes to future payments, in which case EAI and EY will continue to display at a prior rate.

Investment Detail - Equities

Equities	Quantity	Market Price	Market Value Cost Basis	% of Account Assets	Unrealized Gain or (Loss)	Estimated Yield	Estimated Annual Income
ACCENTURE PLC F CLASS A SYMBOL: ACN	3,000.0000	148.0100	444,030.00 339,528.86	2%	104,501.14	1.79%	7,980.00



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Investment Detail - Equities (continued)

Equities (continued)	Quantity	Market Price	Market Value	% of Account Assets	Unrealized Gain or (Loss)	Estimated Yield	Estimated Annual Income
ADIDAS AG F SPONSORED ADR 1 ADR REPS 0.5 ORD SHS SYMBOL: ADDYY	2,750.0000	104.4400	287,210.00 130,636.50	2%	156,573.50	1.06%	3,047.72
ALPHABET INC. CLASS A SYMBOL: GOOGL	250.0000	1,036.1700	259,042.50 189,084.98	1%	69,957.52	N/A	N/A
APPLE INC SYMBOL: AAPL	1,600.0000	171.8500	274,960.00 183,054.00	2%	91,906.00	1.46%	4,032.00
BERKSHIRE HATHAWAY CLASS B SYMBOL: BRKB	1,100.0000	193.0100	212,311.00 181,555.44	1%	30,755.56	N/A	N/A
BP PLC F SPONSORED ADR 1 ADR REPS 6 ORD SHS SYMBOL: BP	4,700.0000	40.0700	188,329.00 186,443.84	1%	1,885.16	5.98%	11,280.00
COCA COLA COMPANY SYMBOL: KO	3,100.0000	45.7700	141,887.00 134,499.71	<1%	7,387.29	3.23%	4,588.00
FACEBOOK INC CLASS A SYMBOL: FB	1,700.0000	177.1800	301,206.00 176,391.83	2%	124,814.17	N/A	N/A
FEDEX CORPORATION SYMBOL: FDX	1,450.0000	231.4600	335,617.00 278,459.99	2%	57,157.01	0.86%	2,900.00

Accrued Dividend: 2,820.00

Investment Detail - Equities (continued)

	Quantity	Market Price	Market Value	% of Account Assets	Unrealized Gain or (Loss)	Estimated Yield	Estimated Annual Income
Equities (continued)							
INTEL CORP SYMBOL: INTC	5,850.0000	44.8400	262,314.00 220,222.61	1%	42,091.39	2.43%	6,376.50
LINAMAR CORP F SYMBOL: LIMAF	4,800.0000	54.0739	259,554.96 219,163.00	1%	40,391.96	0.73%	1,894.75
MICROSOFT CORP SYMBOL: MSFT	1,400.0000	84.1700	117,838.00 92,104.60	<1%	25,733.40	1.85%	2,184.00
SCHLUMBERGER LTD F SYMBOL: SLB	1,350.0000	62.8500	84,847.50 91,866.15	<1%	(7,018.65)	3.18%	2,700.00
TEMPLETON GLOBAL INCOME SYMBOL: GIM	34,100.0000	6.5400	223,014.00 241,456.00	1%	(18,442.00)	2.44%	5,442.36
Total Equities	67,150.0000	Total Cost Basis:	3,392,160.96 2,664,467.51	19%	727,693.45		52,425.33

Total Accrued Dividend for Equities: 5,002.13

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Investment Detail - Exchange Traded Funds

Exchange Traded Funds	Quantity	Market Price	Market Value Cost Basis	% of Account Assets	Unrealized Gain or (Loss)	Estimated Yield	Estimated Annual Income
ISHARES MSCI EAFE GROWTH ETF SYMBOL: EFG	6,000.0000	79.9300	479,580.00 397,071.92	3%	82,508.08	2.21%	10,615.99
ISHARES RUSSELL MID CAP ETF SYMBOL: IWR	1,882.0000	207.1500	389,856.30 329,705.09	2%	60,151.21	1.63%	6,362.36
ISHARES SILVER TRUST ETF SYMBOL: SLV	8,500.0000	15.5100	131,835.00 130,748.52 ¹	<1%	1,086.48	N/A	N/A
SCHWAB INTERNATNAL SMALL CAP EQY ETF SYMBOL: SCHC	13,500.0000	36.5600	493,560.00 404,994.09	3%	88,565.91	1.60%	7,900.20
SPDR GOLD SHARES ETF SYMBOL: GLD	1,008.0000	121.1000	122,068.80 123,910.70 ¹	<1%	(1,841.90)	N/A	N/A
VANECK VECTORS GOLD MINERS ETF SYMBOL: GDX	10,000.0000	22.4700	224,700.00 208,400.73	1%	16,299.27	0.24%	550.00
VANGUARD INTERMEDIATE TERM COR ETF SYMBOL: VCIT	12,000.0000	87.4900	1,049,880.00 1,015,798.83	6%	34,081.17	3.25%	34,128.00
VANGUARD INTERMEDIATE TERM GOV ETF SYMBOL: VGIT	15,000.0000	64.1200	961,800.00 979,314.28	5%	(17,514.28)	1.68%	16,200.00
VANGUARD MID CAP GROWTH ETF SYMBOL: VOT	1,650.0000	127.9700	211,150.50 178,678.34	1%	32,472.16	0.90%	1,907.40



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Investment Detail - Exchange Traded Funds (continued)

Exchange Traded Funds	Quantity	Market Price	Market Value Cost Basis	% of Account Assets	Unrealized Gain or (Loss)	Estimated Yield	Estimated Annual Income
VANGUARD MID CAP VALUE ETF	1,800.0000	110.1300	198,234.00 177,066.00	1%	21,168.00	1.75%	3,477.60
SYMBOL: VOE							
VANGUARD SHORT TERM COR BD ETF	18,750.0000	79.6300	1,493,062.50 1,487,296.50	8%	5,766.00	2.30%	34,425.00
SYMBOL: VCSH							
VANGUARD SHRT INF PROT SEC INDEX ETF	18,000.0000	49.4800	890,640.00 890,068.10	5%	571.90	N/A	N/A
SYMBOL: VTIP							
Total Exchange Traded Funds	108,090.0000		6,646,367.10 6,323,053.10	37%	323,314.00		115,566.55

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Investment Detail - Mutual Funds

Bond Funds	Quantity	Market Price	Market Value	% of Account Assets	Average Cost Basis	Cost Basis	Unrealized Gain or (Loss)
JANUS HENDERSON GLBL UNCONSTRAINED BD I SYMBOL: JUCIX	106,866.2630	9.6500	1,031,259.44	6%	9.61	1,027,227.13	4,032.31
Total Bond Funds	106,866.2630		1,031,259.44	6%		1,027,227.13	4,032.31



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Investment Detail - Mutual Funds (continued)

Equity Funds	Quantity	Market Price	Market Value	% of Account Assets	Average Cost Basis	Cost Basis	Unrealized Gain or (Loss)
DRIEHAUS EMRG MKTS GWTH ◊ FD SYMBOL: DREGX	8,245.0000	38.5900	318,174.55	2%	30.32	249,988.40	68,186.15
FIDELITY ADV EMRG MKTS ◊ FD CL I SYMBOL: FIMKX	10,932.0000	29.3200	320,526.24	2%	22.91	250,404.12	70,122.12
T ROWE PRICE INTL ◊ DISCOVERY FD INV SYMBOL: PRIDX	7,177.0000	72.0500	517,102.85	3%	52.30	375,341.21	141,761.64
TWEEDY BROWNE GLOBAL ◊ VALUE FUND SYMBOL: TBGVX	23,193.0000	28.6000	663,319.80	4%	26.95	624,994.47	38,325.33
Total Equity Funds	49,547.0000		1,819,123.44	10%		1,500,728.20	318,395.24
Total Mutual Funds	156,413.2630		2,850,382.88	16%		2,527,955.33	322,427.55
			Total Investment Detail				18,110,878.28
			Total Account Value				18,110,878.28
			Total Cost Basis				16,422,660.03